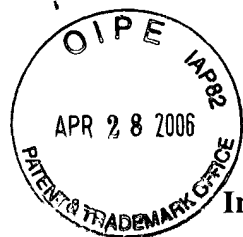


JPW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/371,212 ✓

Filed: August 10, 1999

For: METHOD FOR BUYER-SELLER ON-  
LINE COMMERCE

) Customer No.: 35,554  
)  
) Confirmation No.: 8956  
)  
) Group Art Unit: 2643  
)  
) Examiner: S. Woo  
)  
) Docket No.: 6046-101N6  
)  
)

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, with respect to the instant application, Applicant is submitting additional references of record in Applicant's other related applications as well as other references that may also be of background interest. In an information disclosure statement (IDS) submitted on August 23, 2005, Applicant submitted a set of references that were listed on Forms PTO-1449 (referred to as Set I, Supplemental I, II, III, and IV), along with a CD containing the copies of the cited references. The Patent Office has since then required hard copies of the foreign patents and other non-patent documents to fully comply with 37 C.F.R. § 1.98(a)(2). In order to ensure that those references were considered,

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

April 13, 2006  
Date

/Reena Kuyper/  
Reena Kuyper

Application No.:  
IDS dated:

09/371,212  
April 13, 2006

Applicant is again submitting a copy of those Forms PTO-1449 (Set I, Supplemental I, II, III, and IV) and hard copies of all the foreign patents and other non-patent documents listed on all the Forms PTO-1449s. Applicant is also submitting the additional references listed on Forms PTO-1449 that are captioned Supplemental V.

Applicant respectfully requests the Examiner to consider these references with respect to the claims pending in this application. The Examiner is requested to initial the left-most column of the enclosed Forms PTO-1449, adjacent each reference, and to return a copy for the Applicant's records. It is further requested that these references be cited on the cover of any patent issuing from this application.

The statements made here should not be construed as a representation that more material information does not exist nor an admission that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicant or Applicant's representatives that the information provided here is necessarily prior art to Applicant's invention. Applicant is merely submitting references that may be of interest to this application and reserves the right to establish patentability of the claimed inventions over the listed references should they be asserted against his claims.

This Information Disclosure Statement is filed in accordance with 37 CFR §§ 1.97 and 1.98. This Information Disclosure Statement is believed to be timely submitted and therefore does not require a petition nor fee because the submission submitted today satisfies a requirement by the Patent Office of hard copies of the references submitted before on a CD.

This IDS is timely filed under 37 CFR §1.97(c) and 1.98, that is, it is filed after an action on the merits but before receipt of a final action under § 1.113 or receipt of a notice of

Application No.:  
IDS dated:

09/371,212  
April 13, 2006

allowance under § 1.311. However, if the undersigned representative of Applicant is in error in making this determination that no fee is required, the Examiner is respectfully requested to consider this statement as a petition and is authorized to charge any fee required by the filing of these papers to Deposit Account No. **50-1636** in order to ensure proper consideration of the references cited here.

Respectfully submitted,

Dated: April 13, 2006

By: /Reena Kuyper/  
Reena Kuyper  
Registration No. 33,830

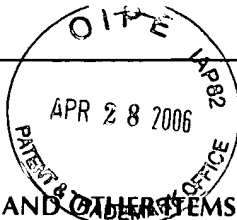
9255 Sunset Blvd., Suite 810  
Los Angeles, CA 90069  
(310) 247-2860

FORM PTO-1449

SET I

**LIST OF PATENTS AND OTHER SYSTEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)



ATTY. DOCKET NO.

6046-101N6

SERIAL NO.

09/371,212

APPLICANT:

RONALD A. KATZ

FILING DATE:

August 10, 1999

GROUP:

2643

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|--------------------|------------|------------------|-------|--------------|----------------|
|                     | Re. 32,115         | 04/15/1986 | Lockwood et al.  | _____ | _____        | _____          |
|                     | 2,575,606          | 11/20/1951 | Wales et al.     | _____ | _____        | _____          |
|                     | 2,957,567          | 10/25/1960 | Doud             | _____ | _____        | _____          |
|                     | 3,246,082          | 04/12/1966 | Levy             | _____ | _____        | _____          |
|                     | 3,253,689          | 05/31/1966 | Thompson         | _____ | _____        | _____          |
|                     | 3,445,633          | 05/20/1969 | Ratner           | _____ | _____        | _____          |
|                     | 3,609,250          | 09/28/1971 | Morris           | _____ | _____        | _____          |
|                     | 3,622,995          | 11/23/1971 | Dilks et al.     | _____ | _____        | _____          |
|                     | 3,705,384          | 12/05/1972 | Wahlberg         | _____ | _____        | _____          |
|                     | 3,794,774          | 02/26/1974 | Kemmerly et al.  | _____ | _____        | _____          |
|                     | 3,881,060          | 04/29/1975 | Connell et al.   | _____ | _____        | _____          |
|                     | 3,909,553          | 09/30/1975 | Marshall         | _____ | _____        | _____          |
|                     | 4,037,250          | 07/19/1977 | McGahan et al.   | _____ | _____        | _____          |
|                     | 4,070,698          | 01/24/1978 | Curtis et al.    | _____ | _____        | _____          |
|                     | 4,090,038          | 05/16/1978 | Biggs            | _____ | _____        | _____          |
|                     | 4,137,429          | 01/30/1979 | Stockdale        | _____ | _____        | _____          |
|                     | 4,141,006          | 02/20/1979 | Braxton          | _____ | _____        | _____          |
|                     | 4,150,254          | 04/17/1979 | Schussler et al. | _____ | _____        | _____          |
|                     | 4,173,024          | 10/30/1979 | Miller           | _____ | _____        | _____          |
|                     | 4,186,438          | 01/29/1980 | Benson et al.    | _____ | _____        | _____          |
|                     | 4,190,819          | 02/26/1980 | Burgyan          | _____ | _____        | _____          |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

|  |  |                                 |
|--|--|---------------------------------|
| <b>FORM PTO-1449</b><br><br><b>SET I</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br>6046-101N6  | <b>SERIAL NO.</b><br>09/371,212 |
|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

| U.S. PATENT DOCUMENTS |                    |            |                  |       |              |                |
|-----------------------|--------------------|------------|------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 4,193,114          | 03/11/1980 | Benini           | _____ | _____        | _____          |
|                       | 4,194,242          | 03/18/1980 | Robbins          | _____ | _____        | _____          |
|                       | 4,247,759          | 01/27/1981 | Yuris et al.     | _____ | _____        | _____          |
|                       | 4,262,333          | 04/14/1981 | Horigome et al.  | _____ | _____        | _____          |
|                       | 4,270,042          | 05/26/1981 | Case             | _____ | _____        | _____          |
|                       | 4,289,930          | 09/15/1981 | Connolly et al.  | _____ | _____        | _____          |
|                       | 4,346,442          | 08/24/1982 | Musmanno         | _____ | _____        | _____          |
|                       | 4,359,631          | 11/16/1982 | Lockwood et al.  | _____ | _____        | _____          |
|                       | 4,360,345          | 11/23/1982 | Hon              | _____ | _____        | _____          |
|                       | 4,360,827          | 11/23/1982 | Braun            | _____ | _____        | _____          |
|                       | 4,393,277          | 07/12/1983 | Besen et al.     | _____ | _____        | _____          |
|                       | 4,412,287          | 10/25/1983 | Braddock, III    | _____ | _____        | _____          |
|                       | 4,424,572          | 01/03/1984 | Lorig et al.     | _____ | _____        | _____          |
|                       | 4,449,186          | 05/15/1984 | Kelly et al.     | _____ | _____        | _____          |
|                       | 4,450,477          | 05/22/1984 | Lovett           | _____ | _____        | _____          |
|                       | 4,451,701          | 05/29/1984 | Bendig           | _____ | _____        | _____          |
|                       | 4,493,948          | 01/15/1985 | Sues et al.      | _____ | _____        | _____          |
|                       | 4,496,943          | 01/29/1985 | Greenblatt       | _____ | _____        | _____          |
|                       | 4,528,643          | 07/09/1985 | Freeny, Jr.      | _____ | _____        | _____          |
|                       | 4,553,222          | 11/12/1985 | Kurland et al.   | _____ | _____        | _____          |
|                       | 4,559,415          | 12/17/1985 | Bernard et al.   | _____ | _____        | _____          |
|                       | 4,566,030          | 01/21/1986 | Nickerson et al. | _____ | _____        | _____          |

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|---|-------------------------|
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|  |  |                                 |
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|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

| U.S. PATENT DOCUMENTS |                    |            |                      |       |              |                |
|-----------------------|--------------------|------------|----------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME                 | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 4,567,359          | 01/28/1986 | Lockwood             | _____ | _____        | _____          |
|                       | 4,577,067          | 03/18/1986 | Levy et al.          | _____ | _____        | _____          |
|                       | 4,591,906          | 05/27/1986 | Morales-Garza et al. | _____ | _____        | _____          |
|                       | 4,626,836          | 12/02/1986 | Curtis et al.        | _____ | _____        | _____          |
|                       | 4,635,251          | 01/06/1987 | Stanley et al.       | _____ | _____        | _____          |
|                       | 4,641,127          | 02/03/1987 | Hogan et al.         | _____ | _____        | _____          |
|                       | 4,652,998          | 03/24/1987 | Koza et al.          | _____ | _____        | _____          |
|                       | 4,654,482          | 03/31/1987 | DeAngelis            | _____ | _____        | _____          |
|                       | 4,656,654          | 04/07/1987 | Dumas                | _____ | _____        | _____          |
|                       | 4,674,044          | 06/16/1987 | Kalmus et al.        | _____ | _____        | _____          |
|                       | 4,694,490          | 09/15/1987 | Harvey et al.        | _____ | _____        | _____          |
|                       | 4,704,725          | 11/03/1987 | Harvey et al.        | _____ | _____        | _____          |
|                       | 4,712,191          | 12/08/1987 | Penna                | _____ | _____        | _____          |
|                       | 4,720,849          | 01/19/1988 | Tayama               | _____ | _____        | _____          |
|                       | 4,727,243          | 02/23/1988 | Savar                | _____ | _____        | _____          |
|                       | 4,734,858          | 03/29/1988 | Schlaflly            | _____ | _____        | _____          |
|                       | 4,739,478          | 04/19/1988 | Roberts et al.       | _____ | _____        | _____          |
|                       | 4,739,510          | 04/19/1988 | Jeffers et al.       | _____ | _____        | _____          |
|                       | 4,742,457          | 05/03/1988 | Leon et al.          | _____ | _____        | _____          |
|                       | 4,745,468          | 05/17/1988 | Von Kohorn           | _____ | _____        | _____          |
|                       | 4,751,640          | 06/14/1988 | Lucas et al.         | _____ | _____        | _____          |

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|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

| U.S. PATENT DOCUMENTS |                    |            |                      |       |              |                |
|-----------------------|--------------------|------------|----------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME                 | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 4,755,871          | 07/05/1988 | Morales-Garza et al. | _____ | _____        | _____          |
|                       | 4,758,872          | 07/19/1988 | Hada                 | _____ | _____        | _____          |
|                       | 4,761,684          | 08/02/1988 | Clark et al.         | _____ | _____        | _____          |
|                       | 4,763,191          | 08/09/1988 | Gordon et al.        | _____ | _____        | _____          |
|                       | 4,788,682          | 11/29/1988 | Vij et al.           | _____ | _____        | _____          |
|                       | 4,789,863          | 12/06/1988 | Bush                 | _____ | _____        | _____          |
|                       | 4,789,928          | 12/06/1988 | Fujisaki             | _____ | _____        | _____          |
|                       | 4,791,666          | 12/13/1988 | Cobb et al.          | _____ | _____        | _____          |
|                       | 4,794,530          | 12/27/1988 | Yukiura et al.       | _____ | _____        | _____          |
|                       | 4,799,156          | 01/17/1989 | Shavit et al.        | _____ | _____        | _____          |
|                       | 4,805,134          | 02/14/1989 | Calo et al.          | _____ | _____        | _____          |
|                       | 4,807,023          | 02/21/1989 | Bestler et al.       | _____ | _____        | _____          |
|                       | 4,823,265          | 04/18/1989 | Nelson               | _____ | _____        | _____          |
|                       | 4,825,457          | 04/25/1989 | Lebowitz             | _____ | _____        | _____          |
|                       | 4,833,710          | 05/23/1989 | Hirashima            | _____ | _____        | _____          |
|                       | 4,843,377          | 06/27/1989 | Fuller et al.        | _____ | _____        | _____          |
|                       | 4,845,636          | 07/04/1989 | Walker               | _____ | _____        | _____          |
|                       | 4,845,739          | 07/04/1989 | Katz                 | _____ | _____        | _____          |
|                       | 4,852,154          | 07/25/1989 | Lewis et al,         | _____ | _____        | _____          |
|                       | 4,873,662          | 10/10/1989 | Sargent              | _____ | _____        | _____          |
|                       | 4,876,597          | 10/24/1989 | Roy et al.           | _____ | _____        | _____          |

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|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

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|-----------------------|--------------------|------------|-----------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME            | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 4,876,648          | 10/24/1989 | Lloyd           | _____ | _____        | _____          |
|                       | 4,885,685          | 12/05/1989 | Wolfberg et al. | _____ | _____        | _____          |
|                       | 4,893,248          | 01/09/1990 | Pitts et al.    | _____ | _____        | _____          |
|                       | 4,893,325          | 01/09/1990 | Pankonen et al. | _____ | _____        | _____          |
|                       | 4,893,326          | 01/09/1990 | Duran et al.    | _____ | _____        | _____          |
|                       | 4,897,867          | 01/30/1990 | Foster et al.   | _____ | _____        | _____          |
|                       | 4,903,201          | 02/20/1990 | Wagner          | _____ | _____        | _____          |
|                       | 4,907,160          | 03/06/1990 | Duncan et al.   | _____ | _____        | _____          |
|                       | 4,910,676          | 03/20/1990 | Alldredge       | _____ | _____        | _____          |
|                       | 4,916,435          | 04/10/1990 | Fuller          | _____ | _____        | _____          |
|                       | 4,922,520          | 05/01/1990 | Bernard et al.  | _____ | _____        | _____          |
|                       | 4,926,325          | 05/15/1990 | Benton et al.   | _____ | _____        | _____          |
|                       | 4,928,177          | 05/22/1990 | Martinez        | _____ | _____        | _____          |
|                       | 4,939,773          | 07/03/1990 | Katz            | _____ | _____        | _____          |
|                       | 4,947,028          | 08/07/1990 | Gorog           | _____ | _____        | _____          |
|                       | 4,951,196          | 08/21/1990 | Jackson         | _____ | _____        | _____          |
|                       | 4,954,886          | 09/04/1990 | Elberbaum       | _____ | _____        | _____          |
|                       | 4,955,052          | 09/04/1990 | Hussain         | _____ | _____        | _____          |
|                       | 4,962,473          | 10/09/1990 | Crain           | _____ | _____        | _____          |
|                       | 4,965,825          | 10/23/1990 | Harvey et al.   | _____ | _____        | _____          |
|                       | 4,972,318          | 11/20/1990 | Brown et al.    | _____ | _____        | _____          |
|                       | 4,980,826          | 12/25/1990 | Wagner          | _____ | _____        | _____          |

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|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
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| U.S. PATENT DOCUMENTS |                    |            |                   |       |              |                |
|-----------------------|--------------------|------------|-------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME              | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 4,989,233          | 01/29/1991 | Schakowsky et al. | _____ | _____        | _____          |
|                       | 4,992,866          | 02/12/1991 | Morgan            | _____ | _____        | _____          |
|                       | 4,992,940          | 02/12/1991 | Dworkin           | _____ | _____        | _____          |
|                       | 5,020,129          | 05/28/1991 | Martin et al.     | _____ | _____        | _____          |
|                       | 5,021,953          | 06/04/1991 | Webber et al.     | _____ | _____        | _____          |
|                       | 5,032,989          | 07/16/1991 | Tornetta          | _____ | _____        | _____          |
|                       | 5,042,062          | 08/20/1991 | Lee et al.        | _____ | _____        | _____          |
|                       | 5,053,956          | 10/01/1991 | Donald et al.     | _____ | _____        | _____          |
|                       | 5,060,068          | 10/22/1991 | Lindstrom         | _____ | _____        | _____          |
|                       | 5,061,916          | 10/29/1991 | French et al.     | _____ | _____        | _____          |
|                       | 5,063,507          | 11/05/1991 | Lindsey et al.    | _____ | _____        | _____          |
|                       | 5,065,393          | 11/12/1991 | Sibbitt et al.    | _____ | _____        | _____          |
|                       | 5,072,103          | 12/10/1991 | Nara              | _____ | _____        | _____          |
|                       | 5,077,665          | 12/31/1991 | Silverman et al.  | _____ | _____        | _____          |
|                       | 5,077,788          | 12/31/1991 | Cook et al.       | _____ | _____        | _____          |
|                       | 5,093,718          | 03/03/1992 | Hoarty et al.     | _____ | _____        | _____          |
|                       | 5,101,353          | 03/31/1992 | Lupien et al.     | _____ | _____        | _____          |
|                       | 5,109,399          | 04/28/1992 | Thompson          | _____ | _____        | _____          |
|                       | 5,109,414          | 04/28/1992 | Harvey et al.     | _____ | _____        | _____          |
|                       | 5,117,354          | 05/26/1992 | Long et al.       | _____ | _____        | _____          |
|                       | 5,136,501          | 08/04/1992 | Silverman et al.  | _____ | _____        | _____          |
|                       | 5,136,581          | 08/04/1992 | Muehrcke          | _____ | _____        | _____          |

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|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

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|-----------------------|--------------------|------------|-------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME              | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 5,151,782          | 09/29/1992 | Ferraro           | _____ | _____        | _____          |
|                       | 5,164,979          | 11/17/1992 | Choi              | _____ | _____        | _____          |
|                       | 5,164,982          | 11/17/1992 | Davis             | _____ | _____        | _____          |
|                       | 5,168,446          | 12/01/1992 | Wiseman           | _____ | _____        | _____          |
|                       | 5,170,427          | 12/08/1992 | Guichard et al.   | _____ | _____        | _____          |
|                       | 5,191,410          | 03/02/1993 | McCalley et al.   | _____ | _____        | _____          |
|                       | 5,191,613          | 03/02/1993 | Craziano et al.   | _____ | _____        | _____          |
|                       | 5,193,056          | 03/09/1993 | Boes              | _____ | _____        | _____          |
|                       | 5,202,759          | 04/13/1993 | Laycock           | _____ | _____        | _____          |
|                       | 5,204,670          | 04/20/1993 | Stinton           | _____ | _____        | _____          |
|                       | 5,206,803          | 04/27/1993 | Vitagliano et al. | _____ | _____        | _____          |
|                       | 5,220,501          | 06/15/1993 | Lawlor et al.     | _____ | _____        | _____          |
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|                       | 5,224,157          | 06/29/1993 | Yamada et al.     | _____ | _____        | _____          |
|                       | 5,229,850          | 07/20/1993 | Toyoshima         | _____ | _____        | _____          |
|                       | 5,231,571          | 07/27/1993 | D'Agostino        | _____ | _____        | _____          |
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|                       | 5,235,680          | 08/10/1993 | Bijinagte         | _____ | _____        | _____          |
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|                       | 5,237,500          | 08/17/1993 | Perg et al.       | _____ | _____        | _____          |
|                       | 5,239,462          | 08/24/1993 | Jones et al.      | _____ | _____        | _____          |
|                       | 5,241,587          | 08/31/1993 | Horton et al.     | _____ | _____        | _____          |

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| U.S. PATENT DOCUMENTS |                    |            |                    |       |              |                |
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| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME               | CLASS | SUB<br>CLASS | FILING<br>DATE |
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|                       | 5,262,942          | 11/16/1993 | Earle              | _____ | _____        | _____          |
|                       | 5,264,929          | 11/23/1993 | Yamaguchi          | _____ | _____        | _____          |
|                       | 5,283,731          | 02/01/1994 | Lalonde et al.     | _____ | _____        | _____          |
|                       | 5,285,383          | 02/08/1994 | Lindsey et al.     | _____ | _____        | _____          |
|                       | 5,289,275          | 02/22/1994 | Ishii et al.       | _____ | _____        | _____          |
|                       | 5,305,200          | 04/19/1994 | Hartheimer et al.  | _____ | _____        | _____          |
|                       | 5,309,355          | 05/03/1994 | Lockwood           | _____ | _____        | _____          |
|                       | 5,319,542          | 06/07/1994 | King, Jr. et al.   | _____ | _____        | _____          |
|                       | 5,323,315          | 06/21/1994 | Highbloom          | _____ | _____        | _____          |
|                       | 5,323,445          | 06/21/1994 | Nakatsuka          | _____ | _____        | _____          |
|                       | 5,335,277          | 08/02/1994 | Harvey et al.      | _____ | _____        | _____          |
|                       | 5,347,632          | 09/13/1994 | Filepp et al.      | _____ | _____        | _____          |
|                       | 5,351,133          | 09/27/1994 | Blonstein          | _____ | _____        | _____          |
|                       | 5,351,186          | 09/27/1994 | Bullock et al.     | _____ | _____        | _____          |
|                       | 5,361,199          | 11/01/1994 | Shoquist et al.    | _____ | _____        | _____          |
|                       | 5,365,577          | 11/15/1994 | Davis et al.       | _____ | _____        | _____          |
|                       | 5,375,055          | 12/20/1994 | Togher et al.      | _____ | _____        | _____          |
|                       | 5,402,336          | 03/28/1995 | Spiegelhoff et al. | _____ | _____        | _____          |
|                       | 5,410,343          | 04/25/1995 | Coddington et al.  | _____ | _____        | _____          |
|                       | 5,412,708          | 05/02/1995 | Katz               | _____ | _____        | _____          |
|                       | 5,426,281          | 06/20/1995 | Abecassis          | _____ | _____        | _____          |

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| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME               | CLASS | SUB<br>CLASS | FILING<br>DATE |
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|                       | 5,442,771          | 08/15/1995 | Filepp et al.      | _____ | _____        | _____          |
|                       | 5,450,123          | 09/12/1995 | Smith              | _____ | _____        | _____          |
|                       | 5,451,998          | 09/19/1995 | Hamrick            | _____ | _____        | _____          |
|                       | 5,455,903          | 10/03/1995 | Jolissaint et al.  | _____ | _____        | _____          |
|                       | 5,465,291          | 11/07/1995 | Barrus et al.      | _____ | _____        | _____          |
|                       | 5,475,585          | 12/12/1995 | Bush               | _____ | _____        | _____          |
|                       | 5,485,370          | 01/16/1996 | Moss et al.        | _____ | _____        | _____          |
|                       | 5,500,793          | 03/19/1996 | Deming, Jr. et al. | _____ | _____        | _____          |
|                       | 5,515,424          | 05/07/1996 | Kenney             | _____ | _____        | _____          |
|                       | 5,528,281          | 06/18/1996 | Grady et al.       | _____ | _____        | _____          |
|                       | 5,528,513          | 06/18/1996 | Vaitzblit et al.   | _____ | _____        | _____          |
|                       | 5,534,912          | 07/09/1996 | Kostreski          | _____ | _____        | _____          |
|                       | 5,539,448          | 07/23/1996 | Verhille et al.    | _____ | _____        | _____          |
|                       | 5,557,518          | 09/17/1996 | Rosen              | _____ | _____        | _____          |
|                       | 5,592,375          | 01/07/1997 | Salmon et al.      | _____ | _____        | _____          |
|                       | 5,602,905          | 02/11/1997 | Mettke             | _____ | _____        | _____          |
|                       | 5,604,487          | 02/18/1997 | Frymier            | _____ | _____        | _____          |
|                       | 5,568,181          | 10/22/1996 | Greenwood et al.   | _____ | _____        | _____          |
|                       | 5,581,479          | 12/03/1996 | McLaughlin et al.  | _____ | _____        | _____          |
|                       | 5,606,359          | 02/25/1997 | Youden et al.      | _____ | _____        | _____          |

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| SET I   |  | APPLICANT:<br>RONALD A. KATZ    |                          |
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| (Use several sheets if necessary)   |  |                                 |                          |

| U.S. PATENT DOCUMENTS |                    |            |                  |       |              |                |
|-----------------------|--------------------|------------|------------------|-------|--------------|----------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE       | NAME             | CLASS | SUB<br>CLASS | FILING<br>DATE |
|                       | 5,606,496          | 02/25/1997 | D'Agostino       | _____ | _____        | _____          |
|                       | 5,682,195          | 10/28/1997 | Hendricks et al. | _____ | _____        | _____          |
|                       | 5,689,553          | 11/18/1997 | Ahuja et al.     | _____ | _____        | _____          |
|                       | 5,710,887          | 01/20/1998 | Chelliah et al.  | _____ | _____        | _____          |
|                       | 5,724,646          | 03/03/1998 | Ganek et al.     | _____ | _____        | _____          |
|                       | 5,758,328          | 05/26/1998 | Giovannoli       | _____ | _____        | _____          |
|                       | 5,793,413          | 08/11/1998 | Hylton et al.    | _____ | _____        | _____          |
|                       | 5,794,207          | 08/11/1998 | Walker et al.    | _____ | _____        | _____          |
|                       | 5,815,146          | 09/29/1998 | Youden et al.    | _____ | _____        | _____          |
|                       | 5,845,265          | 12/01/1998 | Woolston         | _____ | _____        | _____          |
|                       | 5,870,724          | 02/09/1999 | Lawlor et al.    | _____ | _____        | _____          |
|                       | 5,905,973          | 05/18/1999 | Yonezawa et al.  | _____ | _____        | _____          |
|                       | 5,973,722          | 10/26/1999 | Wakai et al.     | _____ | _____        | _____          |

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| EXAMINER<br>INITIAL      | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION |    |
|                          | 0 188 286          | 07/1986    | EPC     | _____ | _____        | YES         | NO |
|                          | 0 010 399 A1       | 04/30/1980 | EPC     | _____ | _____        |             |    |
|                          | 0 029 456          | 02/07/1991 | Japan   | _____ | _____        |             |    |
|                          | 0 109 198          | 04/20/1991 | Japan   | _____ | _____        |             |    |
|                          | Sho 49-73198       | 07/15/1974 | Japan   | _____ | _____        |             |    |
|                          | Sho 50-98626       | 01/10/1974 | Japan   | _____ | _____        |             |    |

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| FOREIGN PATENT DOCUMENTS |                 |            |                |       |           |                 |                |
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| EXAMINER INITIAL         | DOCUMENT NUMBER | DATE       | COUNTRY        | CLASS | SUB CLASS | TRANSLATION YES | TRANSLATION NO |
|                          | Sho 50-133892   | 10/23/1975 | Japan          | _____ | _____     |                 |                |
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|                          | Sho 54-60000    | 05/15/1979 | Japan          | _____ | _____     |                 |                |
|                          | Sho 57-92254    | 06/07/1982 | Japan          | _____ | _____     |                 |                |
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|                          | GB 2 105 075 A  | 03/16/1983 | United Kingdom | _____ | _____     |                 |                |
|                          | 1 437 883       | 06/03/1986 | United Kingdom | _____ | _____     |                 |                |
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|                          | 1 504 113       | 03/15/1978 | United Kingdom | _____ | _____     |                 |                |

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| <b>FORM PTO-1449</b><br><br><b>SET I</b><br><br><b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br>6046-101N6  | <b>SERIAL NO.</b><br>09/371,212 |
|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
|  | <b>FILING DATE:</b><br>August 10, 1999 | <b>GROUP:</b><br>2643           |

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| U.S. PATENT DOCUMENTS |  |                    |            |                    |       |              |                |
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| EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER | DATE       | NAME               | CLASS | SUB<br>CLASS | FILING<br>DATE |
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| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |
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|  | <b>APPLICANT:</b><br>RONALD A. KATZ    |                                 |
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| EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER | DATE       | NAME              | CLASS | SUB<br>CLASS |
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